

How we will use your information

Before continuing with this application, please read the information below which explains how we and others will use your personal and financial information during this application process. When we use and share personal and financial information, we do so on the basis that we have a legitimate interest to prevent fraud and money laundering, to manage our risk and to protect our business and to comply with laws that apply to us (including verifying your identity and assessing the suitability of our products).

For full details about how we use the personal and financial information of our customers, please see our full Privacy Notice at www.ulsterbank.co.uk/privacy.

Who we are

The organisation responsible for processing your personal and financial information is Ulster Bank Limited, a member of The Royal Bank of Scotland Group ("RBS").

Please complete this form in BLOCK CAPITALS and in black ink. Please mark option boxes with an 'x'.

Fields marked with * are mandatory and must be completed in full to enable swift completion of the account opening process

1. Account details

Account name _____

Account holding branch _____ Sort code

Account(s) to which third party is to be added

Account number	Sort code	Account number	Sort code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Third party account details - Complete in full using information as it appears on the photographic identification provided.

Initials in place of full name are not acceptable

Are you an existing Ulster Bank customer? Yes No

If 'Yes', please provide Account number Sort code

Title Mr Mrs Miss Ms Other

First name* (Please specify)

Middle name(s)*

Surname*

Are you known by any other name? Yes No

If 'Yes', please state any other name you are known by (e.g. alias name)

Other known - First name

Other known - Middle name(s)

Other known - Surname

Address line 1*

Address line 2*

Address line 3*

Address line 4 OR overseas country*

Postcode*

Is the property a flat? Yes No

Date of entry to this address* (DD/MM/YYYY)

If less than 3 years, please provide previous address*

Address line 1*

Address line 2*

Address line 3*

Address line 4 OR overseas country*

Postcode*

Country of Residence *

Country of Birth *

Town of Birth

Country of Nationality *

Do you hold any other Citizenships/Nationalities * Yes No

If 'Yes' list here

Do you hold more than 5 Citizenships/Nationalities? * Yes No

Are you currently living in the UK? Yes No

If you are not a permanent resident of the United Kingdom, but are temporarily living here, please provide your address

Address line 1

Address line 2

Address line 3

Address line 4

Postcode

2.1 Personal details

Date of birth (DD/MM/YYYY)*

Occupation*

2.2 What is your main source of income?

Please select the option that best describes how your income is generated.

Basic salary (Employed)

Basic salary (Self Employed)

Benefits

Other income (Employed)

Other income (Self Employed)

Rental income

Pension (Employed)

Pension (Self Employed)

No income

2.3 Source of wealth* – How customer acquired their assets.

Sale of shares

Property sales

Investments/Maturing investments

Policy claims

Compensation payments

Lottery/Betting/Casino win

Inheritance

Company sale

Loan

Gift

High value goods/jewellery/
specialist car/boat

Sale of land

Self employed/drawings/salary

Dividends/Stocks and shares

Other

- If 'Other' please specify

3. Third party additional details

Home telephone number

Work telephone number

Mobile telephone number

E-mail address

Memorable word*

Please choose a memorable word of no more than 15 characters. This may be used to confirm certain transactions

4. Third party account features

4.1 Would you like a book and/or card on any current account(s)?

If 'Yes', account number(s)

Note – Some features may not be applicable to all account types.

Cashcard	<input type="checkbox"/>	OR	Servicecard	<input type="checkbox"/>
Cheque book	<input type="checkbox"/>		Paying in book	<input type="checkbox"/>

If you would like your card to show a description of the account to which it relates, e.g. 'Current account', please enter the details

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

4.2 Would you like a book and/or card on any **savings** account(s)?

If 'Yes', account number(s)

Note – Some features may not be applicable to all account types.

Cashcard	<input type="checkbox"/>		Paying in book	<input type="checkbox"/>
----------	--------------------------	--	----------------	--------------------------

If you would like your card to show a description of the account to which it relates, e.g. 'Savings account', please enter the details

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

4.3 Would you like a book and/or card on any **additional** account(s)?

If 'Yes', account number(s)

Note – Some features may not be applicable to all account types.

Cashcard	<input type="checkbox"/>	OR	Servicecard	<input type="checkbox"/>
Cheque book	<input type="checkbox"/>		Paying in book	<input type="checkbox"/>

If you would like your card to show a description of the account to which it relates, e.g. 'Current account', please enter the details

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

4.4 Would you like information on Anytime Telephone Banking or Anytime Internet Banking?

If 'Yes', please mark the appropriate boxes

Anytime Telephone Banking	<input type="checkbox"/>	Anytime Internet Banking	<input type="checkbox"/>
---------------------------	--------------------------	--------------------------	--------------------------

Complete a card mandate if card required

5. How we will use and share your information

(a) Credit reference and fraud prevention agencies

We may request information about you/your business and the proprietors of that business from credit reference agencies to help verify your identity, and to check your credit status to help assess what product you are most suitable for and/or your ability to repay any credit. Those agencies may keep a record of our request(s) and this may affect your ability to obtain credit elsewhere.

While you have a relationship with us, we will continue to share information with credit reference agencies about how you manage your account including your account balance, the regularity of payments being made, credit limits and any arrears or default in making payments. This information will be made available to other organisations.

When assessing this application, we may consider any financial connections you have with third parties (for example from any joint accounts or joint mortgage you hold/have held). If this is a joint application then a new financial connection may be created. Any financial connection will remain on your record until you request the third party's information to be removed from your record by filing a "notice of disassociation" with the credit reference agencies.

Further information about credit reference agencies, how they use personal information, and financial connections and how they may be ended, can be obtained from the credit reference agencies. The main agencies we use are Experian (www.experian.co.uk/crain), Equifax (www.equifax.co.uk/crain) and Callcredit (www.callcredit.co.uk/crain). We may use other agencies from time to time. For more information about the agencies we use, see Section 11 of our main privacy notice (Credit reference and fraud prevention agencies).

Application decisions may be taken based on solely automated checks of information from credit reference agencies and internal RBS records. You have rights in relation to automated decision making. If you want to know more please see our full privacy notice at www.ulsterbank.co.uk/privacy or contact your Relationship Manager.

In order to prevent and detect fraud and/or money laundering, the information provided in this application may be checked with fraud prevention agencies. If fraud is identified or suspected details may be recorded with these agencies to prevent fraud and money laundering.

If we, or a fraud prevention agency, determine that you pose a fraud or money laundering risk, we may refuse to provide the services and financing to you.

When credit reference and fraud prevention agencies process your information, they do so on the basis that they have a legitimate interest in preventing fraud and money laundering, to protect their business and to comply with laws that apply to them.

(b) With other RBS companies

We and other RBS companies worldwide will use the information you supply in this application (and any information we or other RBS companies may already hold about you) in connection with processing your application and assess your suitability for our products.

If your application is declined we will normally keep your information for up to 5 years, but we may keep it for longer if required by us or other RBS companies in order to comply with legal and regulatory requirements.

We and other RBS companies may use your information in order to improve the relevance of our products and marketing.

(c) With other Third Parties

The information provided in this application may be used for compliance with legal and regulatory screening requirements, including confirming your eligibility to hold a UK bank account and sanctions screening.

We may be required to disclose certain information to regulators, government bodies and similar organisations around the world, including the name, address, tax number, account number(s), total gross amount of interest paid or credited to the account and the balance or value of the account(s) of our customers to HM Revenue and Customs (“**HMRC**”). HMRC may exchange this information with other countries’ tax authorities.

6. Marketing information

RBS would like to keep you informed about products, services and offers that we believe may be of interest to you. If you would prefer not to receive this information by any or all of the methods below, please place a cross in the relevant boxes (if you leave these boxes blank we will assume that you are happy to be contacted by these methods):

- Letter
- Phone
- Email
- Text

RBS will not share your information with third parties for their own marketing purposes.

7. Communications about your account

Notwithstanding your marketing choices above, we will contact you with information relevant to the operation and maintenance of your account by a variety of means including online banking, mobile banking, email, text message, post and/or telephone.

8. Confirming your agreement

Confirming your agreement By continuing with this application, you confirm that we may use your information in the ways described above and are happy to proceed. You acknowledge that information about you and your actions on the account may be shared with the primary [account/card] holder.

9. Third party confirmation

Third party signature

Date (DD/MM/YYYY) _____

10. Existing customer confirmation – to be signed by all existing parties to the account

Customer signature

Name _____

Date (DD/MM/YYYY) _____

Customer signature

Name _____

Date (DD/MM/YYYY) _____

Customer signature

Name _____

Date (DD/MM/YYYY) _____

Customer signature

Name _____

Date (DD/MM/YYYY) _____

For Branch or Relationship Manager use only

Does the account holder(s) signature(s) match ISV?

Yes No N/A

If no please complete and include the following mandate.

Request to apply customer signatures to bank records form (ULS50077) for sole accounts

Or

Joint account mandate (ULS50048) for joint accounts.

Does the third party have an existing CIN?

If Yes, CIN number

Is there a V Marker present on back office for the new party/parties?

Yes No

New account sanctioner checklist completed and attached.

Yes N/A

Identification & address verification documents obtained, copied, certified & attached.

Yes N/A

Third party mandate attached.

Yes

Approved plastic card request attached.

(Please contact Edinburgh Card Centre to obtain this request)

Yes N/A

Internet banking discussed with customer and ulsterbank.co.uk address provided to customer to register.

Yes N/A

Anytime Telephone Banking discussed with customer and contact number provided to register. **NB** Anytime Internet banking gives access to both Internet and Telephone Banking.

Yes N/A

Staff signature and ISV

Staff name _____

Location _____

Date (DD/MM/YYYY) _____

Contact number