

Stocks and Shares ISA to Cash ISA Transfer Request



This form is a quick and easy way to transfer your stocks and shares ISA funds to Ulster Bank from another provider. Please complete this form in BLOCK CAPITALS and in black ink, mark the box with a cross where applicable. If you currently have an Ulster Bank Cash ISA/Cash ISA Plus please inform the branch staff. If you do not have an Ulster Bank Cash ISA/Cash ISA Plus, please open an Ulster Bank ISA account before completion of this form.

Please ensure this form is signed and then forwarded to:

Ulster Bank, Everyday Banking Operations, 11-16 Donegall Square East, Belfast, BT1 5UB

1. Customer details

Title Mr Mrs Miss Ms Other
(Please specify)

First name

Middle name(s)

Surname

Address line 1

Address line 2

Address line 3

Address line 4 Postcode

Please provide details of the account you wish to transfer into

Ulster Bank ISA Account number Sort code

National Insurance number Date of birth (DD/MM/YYYY)

2. Transfer information

Please provide details for the account you wish to transfer your existing ISA from

Name of current stocks and shares ISA provider

Existing stocks and shares ISA number

Please note:

The terms and conditions of some stocks and shares ISAs do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken. Your existing stocks and shares ISA provider may need you to give them specific information before the transfer can proceed **and you may be required to pay any outstanding fees**. Please check with your existing stocks and shares ISA provider if you are not sure about this.

Q1 – I instruct you to:

Sell all my investments and transfer the ISA in full.

OR

Undertake a partial transfer Estimated value to be transferred £ . p

Only complete Q2 to Q5 if you are requesting a partial transfer.

Q2 – If you have subscribed to the stocks and shares ISA for the current tax year, do you want to include in your transfer the subscription for the current tax year? Yes **OR** No

Q3 – If 'Yes', please provide the amount you have subscribed this tax year £ . p

Important: the amount in your account representing the current tax year subscription can only be transferred in whole and **not** in part.

Q4 – Stocks, Shares and/or Funds that you wish to sell

Please list all of the stocks, shares and / or funds that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your cash ISA.

Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. You may have to make contact with your existing stocks and shares ISA provider to help you specify what to sell in order to meet the estimated transfer value given above. Please note that you may be contacted by your existing stocks and shares ISA provider to agree what to sell.

| Name of Stock, Share or Fund | Number of Stocks, Shares, Units to be sold or specify "All" to sell the entire holding |
|------------------------------|----------------------------------------------------------------------------------------|
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |

Q5 – Please specify uninvested cash you wish to transfer

If you hold uninvested cash in your stocks and shares ISA, please say how much of your uninvested cash balance you want to transfer £ . p

Or, if you want to transfer all of your uninvested cash balance, tick here

3. Transfer authority

I authorise my existing stocks and shares ISA provider (named above) to transfer the value of my stocks and shares ISA to Ulster Bank Limited in accordance with my instructions in this transfer form.

I authorise my existing stocks and shares ISA provider (named above) to provide Ulster Bank Limited with any information, written or non-written, concerning my existing stocks and shares ISA and to accept any instruction from Ulster Bank Limited relating to the request to transfer the value of my existing stocks and shares ISA.

I authorise Ulster Bank Limited to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims to relief of tax in respect of ISA investments.

Where my existing stocks and shares ISA requires notice to close or transfer, or contains a fixed term investment, I instruct my existing stocks and shares ISA provider to either:

Await the end of the period of notice or fixed term (if relevant) before proceeding with the transfer in accordance with the instructions above.

OR

Dependent on the terms and conditions of my existing stocks and shares ISA, carry out the transfer as soon as possible. I will accept any loss of income or charges that may be applied.

I declare that this transfer request form has been completed to the best of my knowledge and belief.

Customer signature

Name (in full)

Date (DD/MM/YYYY)

Please mark this box with a cross if you are signing this application as a person holding power of attorney who is mentally/physically* incapable of making this application.

*Please delete as appropriate.

For Ulster Bank branch use only

CIN number

Branch sort code

Staff contact name

Branch contact number

Instruction to existing ISA provider

Please make cheques payable to 'Ulster Bank Limited (customer name/ISA number)' and send with ISA Transfer Certificate to the address below:

Ulster Bank, Everyday Banking Operations, 11-16 Donegall Square East, Belfast, BT1 5UB