

# Identification and Residency Documents

## INDIVIDUALS

CATEGORY TYPE	US (FATCA legislation)	Non-US (CRS legislation)
<ul style="list-style-type: none"> <li>Address &amp; Country</li> <li>Telephone number</li> <li>Country of Tax Residency</li> <li>Standing Instruction</li> <li>Country in Power of Attorney (CRS only)</li> <li>Country in Signatory Address (CRS only)</li> </ul>	Self certification form <b>and</b> one of the documents below: <ol style="list-style-type: none"> <li>Passport</li> <li>Driving License</li> <li>National ID card</li> <li>Certification of Tax residency in the country they claim to be tax resident</li> <li>Any financial statement, third party credit report, bankruptcy filing, or US Securities and Exchange Commission report.</li> <li>Any other tax jurisdiction specific acceptable documentation (e.g. Armed Forces Identity Card, Shotgun Licence issued by a UK Police Authority)</li> </ol>	Self Certification form <b>and</b> one of the documents below: <ol style="list-style-type: none"> <li>Passport</li> <li>Driving License</li> <li>National ID card</li> <li>Certification of Tax residency in the country they claim to be tax resident</li> <li>Third party credit report (E.g. Experian Credit Report)</li> <li>Any other tax jurisdiction specific acceptable documentation (e.g. Armed Forces Identity Card, Shotgun Licence issued by a UK Police Authority)</li> </ol>
<ul style="list-style-type: none"> <li>Unambiguous US Place of Birth</li> <li>Country of Citizenship</li> </ul>	<b>IN ADDITION TO THE ABOVE, EITHER:</b> Certificate of loss of nationality <b>or</b> one of the following: <ul style="list-style-type: none"> <li>The reason that the beneficial owner / individual does not have a certificate of loss of nationality of the USA despite relinquishing US citizenship</li> <li>The reason the beneficial owner / individual did not obtain US citizenship at birth.</li> </ul>	N/A
<ul style="list-style-type: none"> <li>Care of Address</li> <li>Hold mail flag</li> <li>Country in Power of Attorney (FATCA only)</li> <li>Country in Signatory Address (FATCA only)</li> </ul>	Self certification form <b>or</b> one of the documents below: <ol style="list-style-type: none"> <li>Passport</li> <li>Driving License</li> <li>National ID card</li> <li>Certification of Tax residency in the country they claim to be tax resident</li> <li>Any financial statement, third party credit report, bankruptcy filing, or US Securities and Exchange Commission report.</li> <li>Any other tax jurisdiction specific acceptable documentation (e.g. Armed Forces Identity Card, Shotgun Licence issued by a UK Police Authority)</li> </ol>	Self certification form <b>or</b> one of the documents below: <ol style="list-style-type: none"> <li>Passport</li> <li>Driving License</li> <li>National ID card</li> <li>Certification of Tax residency in the country they claim to be tax resident</li> <li>Third party credit report (E.g. Experian Credit Report)</li> <li>Any other tax jurisdiction specific acceptable documentation (e.g. Armed Forces Identity Card, Shotgun Licence issued by a UK Police Authority)</li> </ol>

## ENTITIES

CATEGORY TYPE	US (FATCA legislation)	Non-US (CRS legislation)
<ul style="list-style-type: none"> <li>Address &amp; Country</li> <li>Telephone number</li> <li>Country of Tax Residency</li> <li>Standing Instruction</li> <li>Care of Address</li> <li>Hold mail flag</li> <li>Country in Power of Attorney</li> <li>Country in Signatory Address</li> <li>Country of Incorporation</li> </ul>	Self certification form <b>and</b> one of the documents listed below as applicable: <ol style="list-style-type: none"> <li>For partnerships: a copy of the partnership agreement;</li> <li>For corporations: a copy of the Certificate of Incorporation or the Memorandum and Articles of Association</li> <li>For trusts: either a copy of the Trust deed and any subsidiary deed evidencing the appointment and powers of trustees, or certified copies of extracts from the deeds.</li> <li>Any financial statement, third party credit report, bankruptcy filing, or US Securities and Exchange Commission report.</li> </ol>	Self Certification form <b>and</b> one of the documents listed below as applicable: <ol style="list-style-type: none"> <li>For partnerships: a copy of the partnership agreement;</li> <li>For corporations: a copy of the Certificate of Incorporation or the Memorandum and Articles of Association</li> <li>For trusts: either a copy of the Trust deed and any subsidiary deed evidencing the appointment and powers of trustees, or certified copies of extracts from the deeds.</li> <li>Any official documentation issued by an authorised government body that includes the name of the Entity and either the address of its principal office in the country in which it claims to be resident or the country in which the Entity was incorporated or organised</li> <li>Any audited financial statement, third party credit report, bankruptcy filing, or securities regulators report.</li> </ol>